



sector  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                    |   |                           |
|------------------------------------|---|---------------------------|
| In re Application                  | ) | <u>PATENT APPLICATION</u> |
|                                    | ) |                           |
| Inventor(s): Rocky Stewart,        | ) |                           |
| Pal Takacs-Nagy,                   | ) |                           |
| Timo Metsaportti and               | ) |                           |
| Adrian Price                       | ) |                           |
| SC/Serial No.: 09/785,862          | ) | Art Unit: 2176            |
| Confirm. No.: 6339                 | ) | Examiner: Unassigned      |
| Filed: February 16, 2001           | ) |                           |
| Title: WORKFLOW INTEGRATION SYSTEM | ) |                           |
| FOR ENTERPRISE WIDE ELECTRONIC     | ) |                           |
| COLLABORATION                      | ) | <u>Customer No. 23910</u> |
|                                    | ) |                           |

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on July 13, 2001.

(Agent Signature)

Karl Kenna, Reg. No.: 45,445

Signature Date: July 13, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated April 5, 2001, enclosed are the following documents in connection with the above-identified application:

- ✓ Copy of Notice to File Missing Parts -- Filing Date Granted
- ✓ Petition Under 37 C.F.R. §1.47 On Behalf Of Signing Inventors To Accept Declaration In Response To A Missing Parts Without The Signature Of A Joint Inventor
- ✓ Declaration Under 37 C.F.R. §1.47 On Behalf Of Signing Inventors In Support Of Petition To Accept Declaration In Response To A Missing Parts Without The Signature Of A Joint Inventor

- ☒ Declaration for Patent Application
- ☒ Assignment and Assignment Recordation Form Cover Sheet
- ☒ Petition for Extension of Time Under 37 C.F.R. §1.136
- ☒ Request for Correction of Filing Receipt

**Total Fee**

The Total Fee associated with this communication has been calculated as shown below:

|                                     |   |                 |
|-------------------------------------|---|-----------------|
| <input checked="" type="checkbox"/> | Petition for Two-Month Extension of Time  | \$390.00        |
| <input checked="" type="checkbox"/> | Petition fee under 37 C.F.R. §1.17(i)   | \$130.00        |
| <input checked="" type="checkbox"/> | Assignment recording fee (\$40.00)  | \$ 40.00        |
| <input checked="" type="checkbox"/> | Surcharge under 37 C.F.R. §1.16(e) for<br>late filing of filing fee or declaration: |                 |
| <input checked="" type="checkbox"/> | Large Entity  | \$130.00        |
|                                     |   | <u>\$130.00</u> |


TOTAL FEE DUE: \$690.00

**Method of Payment of Fees**

- ☒ A check in the amount of the TOTAL FEE DUE is enclosed.
- ☒ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: July 13, 2001

By: 

Karl Kenna  
Reg. No. 45,445

FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP 2176  
RECEIVED

JUL 18 2001

Technology Center 2100

In re Application

Inventors: Rocky Stewart, Pal Takacsi-Nagy,  
Timo Metsaportti, Adrian Price  
Appl. No.: 09/785,862  
Confirm. No.: 6339  
Filed: February 16, 2001  
Title: WORKFLOW INTEGRATION SYSTEM FOR  
ENTERPRISE WIDE ELECTRONIC  
COLLABORATION

PATENT APPLICATION

Art Unit: 2176

Examiner: Unassigned

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Art Unit 2176, Washington, DC 20231, on July 13, 2001.

(Attorney Signature)

Jason D. Lohr, Reg. No. 48,163

Signature Date: July 13, 2001

**TRANSMITTAL LETTER**

Commissioner for Patents  
Art Unit 2176  
Washington, DC 20231

Sir:

Transmitted with this communication in connection with the above-identified application are the following:

- A Response under 37 C.F.R. §1.111 to the Office Action dated \_\_\_\_.
- A Response under 37 C.F.R. §1.116 to the Office Action dated \_\_\_\_.
- A Petition for an Extension of Time under 37 C.F.R. §1.136.

☐ A Statement pursuant to 37 C.F.R. §1.27 to establish small entity status under 37 C.F.R. §1.9(f).

☒ A Second Information Disclosure Statement pursuant to 37 C.F.R. §1.56.

The fee associated with this communication has been calculated as shown below:

☒ No fee is required with this communication.

☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established.

☐ A fee for extension of time for response under 37 C.F.R. §1.136 filed within    month(s) after the original time for response of \$   is due.

☐ A fee of \$180.00 is due for the submission of the accompanying Information Disclosure Statement.

☐ A fee for addition of claims under 37 C.F.R. §1.16 is due as follows:

| Claims<br>Remaining<br>After<br>Amendment | Highest<br>Previously<br>Paid For | Number<br>Extra | Rate<br>Small Entity/<br>Other Than<br>Small Entity |         |   |    |
|---|-----------------------------------|-----------------|---|---------|---|----|
| Total                                     |                                   |                 | \$ 9.00   |         |   |    |
| Claims <u>  </u> - <u>[20 or more]</u>    | =                                 | <u>  </u> *     | X   | \$18.00 | = | \$ |
| Independent                               |                                   |                 | \$40.00   |         |   |    |
| Claims <u>  </u> - <u>[ 3 or more]</u>    | =                                 | <u>  </u> *     | X   | \$80.00 | = | \$ |
| First Presentation of                     |                                   |                 | \$135.00  |         |   |    |
| Multiple Dependent Claim(s) <u>  </u>     |                                   |                 | \$270.00  | =       |   | \$ |

\*If the difference is less than zero, enter "0".

Additional Fee= \$          

The total fee required with this communication is \$       and is to be paid as follows:

☐ Please charge Deposit Account No. 06-1325 in the amount of \$  . A duplicate copy of this authorization is enclosed.

☐ A check in the amount of \$       is enclosed.

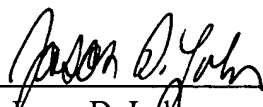
☒ The Commissioner is hereby authorized to charge underpayment of any fees, including the following fees, associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

☒ Any filing fees under 37 C.F.R. §1.16 for the presentation of additional claims.

☒ Any patent application processing fees under 37 C.F.R. §1.17 including any applicable fee for extension of time.

Respectfully submitted,

Date: 7/13/01

By:   
Jason D. Lohr  
Reg. No. 48,163

FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/785,862         | 02/16/2001          | Rocky Stewart         | BEAS-01033US6 SRM/KFK  |

CONFIRMATION NO. 6339

## FORMALITIES LETTER



\*OC000000005868432\*

Sheldon R. Meyer, Esq.  
FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, CA 94111-4156

Date Mailed: 03/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

*Shy*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/785,862 260Y0511 00000007 09785862

130.00 0P



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                    |   |                           |
|------------------------------------|---|---------------------------|
| In re Application                  | ) | <u>PATENT APPLICATION</u> |
|                                    | ) |                           |
| Inventor(s): Rocky Stewart,        | ) |                           |
| Pal Takacsi-Nagy,                  | ) |                           |
| Timo Metsaportti and               | ) |                           |
| Adrian Price                       | ) |                           |
| SC/Serial No.: 09/785,862          | ) | Art Unit: 2176            |
| Confirm. No.: 6339                 | ) | Examiner: Unassigned      |
| Filed: February 16, 2001           | ) |                           |
| Title: WORKFLOW INTEGRATION SYSTEM | ) |                           |
| FOR ENTERPRISE WIDE ELECTRONIC     | ) |                           |
| COLLABORATION                      | ) | <u>Customer No. 23910</u> |
|                                    | ) |                           |

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on July 13, 2001.

(Agent Signature)

Karl Kenna, Reg. No.: 45,445

Signature Date: July 13, 2001

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
Art Unit 2161  
Washington, DC 20231

Sir:

In the Filing Receipt received by Applicants in the above-identified patent application, the U.S. Patent and Trademark Office erroneously omitted continuing data.

**Under Continuing Data as Claimed by Applicant:**

Please add below THIS APPLN CLAIMS BENEFIT OF 60/183,067 02/16/2000:

AND CLAIMS BENEFIT OF 60/258,804 12/29/2000

In support of this request, attached is a copy of the title page and the first page of the patent application. A copy of the Filing Receipt is also attached, with the correction marked thereon.


Please make the indicated corrections and forward to us a corrected filing receipt.

There is no fee due with this communication. However, the Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: July 13, 2001

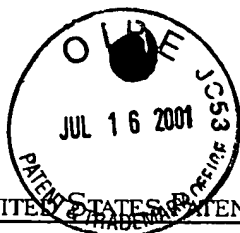
By: 

Karl Kenna  
Reg. No. 45,445

FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800



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MEYER & LOVEJOY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE  | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO.             | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|--------------|--------------|---------------|------------------------------|----------|------------|------------|
| 09/785,862 ✓       | 02/16/2001 ✓ | 2176         | 710           | BEAS-<br>01033US6<br>SRM/KFK | 18 ✓     | 20 ✓       | 2 ✓        |

CONFIRMATION NO. 6339 ✓

FILING RECEIPT



\*OC000000005868431\*

Sheldon R. Meyer, Esq.  
FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, CA 94111-4156

Date Mailed: 03/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rocky Stewart, Residence Not Provided;  
Pal Takacsi-Nagy, Residence Not Provided;  
Timo Metsaportti, Residence Not Provided;  
Adrian Price, Residence Not Provided; ✓

Fliesler, Dubb, Meyer & Lovejoy  
File: BEAS-01033US6  
Action Item: Action #1?  
Date Due: April 16, 2002  
Initial Date: SRM/JDL  
Attorney Path: BCW  
Marked By: Q etj

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/183,067 02/16/2000

AND CLAIMS BENEFIT OF 60/258,804 12/29/2000

Foreign Applications

If Required, Foreign Filing License Granted 03/15/2001 ✓

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Workflow integration system for enterprise wide electronic collaboration ✓

**Preliminary Class**

707

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**Data entry by :** MURSHID, SHAMSA

**Team :** OIPE

**Date:** 03/15/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



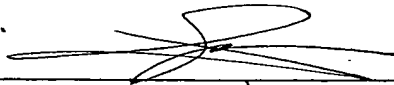
UNITED STATES PATENT APPLICATION FOR  
WORKFLOW INTEGRATION SYSTEM FOR ENTERPRISE WIDE  
ELECTRONIC COLLABORATION

Inventors:  
Rocky Stewart  
Pal Takacsi-Nagy  
Timo Metsaportti  
Adrian Price

CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL622695270US  
Date of Mailing: February 16, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C., 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

  
(Signature) Johann S. Mercado.  
Signature Date: February 16, 2001

## WORKFLOW INTEGRATION SYSTEM FOR ENTERPRISE WIDE ELECTRONIC COLLABORATION

### Inventors:

Rocky Stewart  
Pal Takacsi-Nagy  
Timo Metsaportti  
Adrian Price

### COPYRIGHT NOTICE

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This application claims priority from U.S. provisional patent applications "OPEN MARKET PLATFORM SYSTEM FOR CONDUCTING WEB BASED BUSINESS", Application No. 60/183,067, filed February 16, 2000, incorporated herein by reference and "OPEN MARKET PLATFORM SYSTEM FOR CONDUCTING WEB BASED BUSINESS", Application No. 60/258,804 , filed December 29, 2000, incorporated herein by reference.

### Cross-Reference Cases:

The following applications are cross-referenced and incorporated herein by reference:

U.S. Application entitled "OPEN MARKET COLLABORATION SYSTEM FOR ENTERPRISE WIDE ELECTRONIC COMMERCE," by Rocky Stewart, Timo Metsaportti, and Pal Takacsi-Nagy, filed February 16, 2001.